

1601 Receipt
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PATENT
Attorney Docket No.: BIV-044.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Miao, et al.

Filed: December 1, 1999

Serial No.: 09/451,939

For: Method of Treating Dopaminergic and
GABA-Nergic Disorders



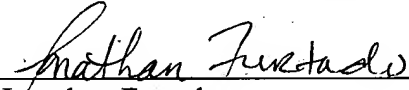
Group Art Unit: 1646

Examiner: Not Yet Assigned

RECEIVED
JUN 20 2000
TC 1600 MAIL ROOM

CERTIFICATE MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents Washington, D.C. 20231 on May 4, 2000.


Jonathan Furtado

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Enclosed is a copy of the Filing Receipt that we received from the United States Patent and Trademark Office for the above-referenced application. There are errors on the Filing Receipt, which are marked in red on the copy.

1. The applicants' addresses are incorrectly listed the correct addresses are as follows:

Ningning Miao, Palo Alto, CA;

Monica Wang, Marblehead, MA;

Nagesh K. Mahanthappa, Cambridge, MA;

Ping Jin, Boston, MA;

Kevin Pang, Belmont, MA.

2. The total number of claims is incorrectly listed the correct number of claims is 46;
3. The attorney docket number is incorrectly listed the correct attorney docket number is BIV-044.02;

Applicants respectfully request issuance of a corrected filing receipt for this application.

If there are any other fees due in connection with the filing of this Request, please charge the fees to our **Deposit Account No. 06-1448**.

If there are any questions regarding the filing of these documents, the Examiner can contact the undersigned at (617) 832-1754.



May 4, 2000

Respectfully submitted,
FOLEY, HOAG, & ELIOT LLP

A handwritten signature in dark ink, appearing to read "DA P. Z", written over a horizontal line.

David P. Halstead
Reg. No. 44,735
Agent for Applicants

Patent Group
Foley, Hoag & Eliot LLP
One Post Office Square
Boston, MA 02109-2170
Telephone: (617) 832-1000
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JUN 20 2000
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Box-SEQ

PATENT
Attorney Docket No.: BIV-044.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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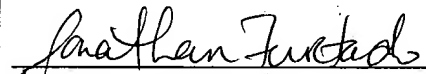


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Jonathan Furtado

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice to File Missing Parts dated January 18, 2000, Applicants submit herewith a check in the sum of \$2,866 to cover the filing fee, extra claim fee and the late filing surcharge for the above-referenced application. Also enclosed is a copy of PTO-1533, an executed Declaration and Power of Attorney for Patent Application. Also enclosed is a Notice to Comply with Sequence Rules and Form PTO-1661.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our **Deposit Account No. 06-1448**.

If there are any questions after review of this Response, the Examiner can contact the undersigned attorney at (617) 832-1754.



May 4, 2000

Respectfully submitted,
FOLEY, HOAG & ELIOT, LLP

A handwritten signature in black ink, appearing to read "David P. Halstead", written over a horizontal line.

David P. Halstead, Ph.D.
Reg. No.44,735
Agent for Applicants

Patent Group
Foley, Hoag & Eliot, LLP
One Post Office Square
Boston, MA 02109
Telephone: (617)832-1000
Facsimile: (617) 832-7000

BEST AVAILABLE COPY



Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/451,939	12/01/99	MIAO	N ONV-0440.02

PATENT GROUP
FOLEY HOAG ELIOT LLP
ONE POST OFFICE SQUARE
BOSTON, MA 02109

0262/0118 MP/Seg/ST
3-18 00
8-18 00

NOT ASSIGNED

1646

DATE MAILED:

01/18/00



NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of Items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 410.00

- ☒ 1. The statutory basic filing fee is:
☐ missing
☐ insufficient
Applicant must submit \$ 260 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27)
- ☒ 2. The following additional claims fees are due:
\$ 1164 for 98 total claims over 20.
\$ 1248 for 116 independent claims over 3.
\$ 210 for multiple dependent claim surcharge.
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☒ is missing or unsigned.
☐ does not cover the newly submitted items.
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER

DP 760.00
DP 130.00
DP 468.00
DP 1248.00
DP 260.00

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Washington

Customer Service Center
Initial Patent Examination Division (703) 308-1202

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP/ART/UNIT	FIL FEE REC'D	ATTORNEY/DOCKET NO.	IDRWGS	TOT CL	IND CL
09/451,939	12/01/99	1646	\$0.00	ONY-0440.02	8	118	19

PATENT GROUP
FOLEY HOAG ELIOT LLP
ONE POST OFFICE SQUARE
BOSTON MA 02109

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with V501 reply to this "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) NINGNING MIAO, RESIDENCE NOT PROVIDED; MONICA WANG, RESIDENCE NOT PROVIDED; NAGESH K. MAHANTHAPPA, RESIDENCE NOT PROVIDED; PING JIN, RESIDENCE NOT PROVIDED; KEVIN PANG, RESIDENCE NOT PROVIDED.

CONTINUING DATA AS CLAIMED BY APPLICANT-
THIS APPLN IS A CIP OF 08/900,220 07/24/97

IF REQUIRED; FOREIGN FILING LICENSE GRANTED 01/14/00
TITLE
METHOD OF TREATING DOPAMINERGIC AND GABA-NERGIC DISORDERS
PRELIMINARY CLASS: 536

RECEIVED

JAN 20 2000

F. & E. L.
PATENT DEPT.

DATA ENTRY BY: WASHINGTON, LINDA TEAM: 06 DATE: 01/14/00



(See reverse for new important information)